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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/826,694	04/19/2004	Shigehiko Uehara	249-337

23117  
NIXON & VANDERHYE, PC  
1100 N GLEBE ROAD  
8TH FLOOR  
ARLINGTON, VA 22201-4714

CONFIRMATION NO. 9600

## FORMALITIES LETTER



\*OC000000013090086\*

Date Mailed: 06/28/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/12/2004 SZEWDIE1 00000034 10826694

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 770.00 OP  
02 FC:1051 130.00 OP

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$900** for a Large Entity

- **\$770** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

UEHARA

Serial No. 10/826,694

Filed: April 19, 2004

For: PRINTER PROVIDED WITH PAPER WINDER

Attention: Application Branch

Atty. Dkt. 249-337

Date: August 11, 2004

**Mail Stop Missing Parts**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

The attached completes filing of the above-identified patent application:

☐ **Correspondence Address Indication Form Attached.**

☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR

☐ Signed Declaration plus attached copy of originally filed specification/drawings.

☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.**

☒ Record the attached assignment to Seiko Epson Corporation, Tokyo, Japan and return to the undersigned.

☐ Attached is a Power of Attorney.

☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

**Application Number**

2003-114347

**Country**

Japan

**Day/Month/Year Filed**

18 April 2003

respectively.

☒ Certified copy(ies) of foreign application(s) is/are attached.

☐ Certified copy(ies) filed on \_\_\_\_\_ in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.

☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☐ Please enter the attached preliminary amendment prior to calculation of filing fee.

☒ Also attached: ☐ Information Disclosure Statement; ☐ Nucleotide and/or Amino Acid Sequence Submission; ☒ Other: Submission of Formal Drawings and Six (6) Sheets of Drawings; Identification of Practitioners to be Recognized

**Fees due are calculated below:**

Basic filing fee				\$	770.00
Total Effective claims	11	- 20 =	0	x \$	18.00
				\$	0.00
Independent claims	2	- 3 =	0	x \$	86.00
				\$	0.00
If any proper multiple dependent claims now added for first time, add \$290.00 (ignore improper)				\$	0.00
				<b>FILING FEE</b>	\$ 770.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$420.00/2 months; \$950.00/3 months; \$1480.00/4 months)				\$	
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
English translation of specification and claims (\$130.00)				\$	0.00
				<b>FIRST SUBTOTAL</b>	\$ 900.00
If "small entity," enter half (1/2) of subtotal and subtract				-\$	0.00
				<b>SECOND SUBTOTAL</b>	\$ 900.00
Assignment Recording Fee (\$40.00)				\$	40.00
				<b>TOTAL FEE ENCLOSED</b>	\$ 940.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

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AMK:js

NIXON & VANDERHYE P.C.

By Atty: Alan M. Kagen, Reg. No. 36,178

Signature: \_\_\_\_\_

*Alan M. Kagen*



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Confirmation No. 9600

UEHARA

Serial No. 10/826,694

Atty Ref.: 249-337

Filed: April 19, 2004

TC/A.U.: 2854

For: PRINTER PROVIDED WITH PAPER  
WINDER

Examiner: Not Yet Assigned

\* \* \* \* \*

August 11, 2004

Mail Stop PGPUB Drawings  
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Alexandria, VA 22313-1450

**SUBMISSION OF FORMAL DRAWINGS**

Sir:

Enclosed herewith are six (6) sheet(s) of formal, inked drawings for the above-identified application.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:

Alan M. Kagen  
Reg. No. 36,178

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